**Instructions:**
Please review this checklist for **all international shipments**. The questions are intended as a guidance tool to determine if there may be any restrictions or laws applicable to your shipment. If the answer is “yes” to any question below, please halt your shipment and immediately contact the [Office of Regulatory Services](https://resources.uta.edu/research/regulatory-services/index.php) to perform an assessment for export control. Based on country-specific sanctions and export control regulations, certain shipments may be prohibited or may require a license under law.

First, please consider these RED FLAGS for all international shipments: <https://www.bis.doc.gov/index.php?option=com_content&view=article&id=51&catid=18>

**For any item(s) where your answer is “yes” please provide a description and details to the Office of Regulatory Services when you** [**contact**](https://resources.uta.edu/research/regulatory-services/index.php) **them.**

1. [ ]  Yes [ ]  No Does your shipment include encryption software?
If yes, please describe:
2. [ ]  Yes [ ]  No Does your shipment include any item(s) related to the proliferation of nuclear, chemical, or biological weapons or missile technology?
If yes, please describe:
3. [ ]  Yes [ ]  No Are there any sanctions associated with the destination and/or recipient of your shipment? ***\*Office of Regulatory Services can assist you with this assessment.***
Search the Consolidated Screening List by the Department of Treasury, Department of Commerce, and Department of State: <https://www.trade.gov/consolidated-screening-list>

If yes, please describe:

1. [ ]  Yes [ ]  No Does your shipment involve any materials, technology, or services listed on the Department of Commerce’s EAR/Commerce Control List? ***\*Office of Regulatory Services can assist you with this assessment.***
Search the Commerce Control List (CCL): <https://www.bis.doc.gov/index.php/regulations/export-administration-regulations-ear>
If yes, please describe:
2. [ ]  Yes [ ]  No Does your shipment involve any materials, technology, or services listed on the ITAR/U.S. Munitions List? ***\*Office of Regulatory Services can assist you with this assessment.***
Search the U.S. Munitions List (USML): <https://www.pmddtc.state.gov/ddtc_public?id=ddtc_public_portal_itar_landing>
If yes, please describe:
3. [ ]  Yes [ ]  No Does your shipment involve any materials, technology, or services that are specifically designed, developed, configured, modified or adapted for **military or space-based** applications?
If yes, please describe:

**Exclusion Eligibility:**

If you have answered YES to any of the questions above, your responses to the next questions regarding **information and software only** will assist Regulatory Services in determining whether an exclusion applies.

1. [ ]  Yes [ ]  No Is all the **information or software** involved in your shipment published and generally accessible to the public through at least one of the following?

 [ ]  publication for distribution

 [ ]  subscriptions available without restrictions

 [ ]  website available free of charge

 [ ]  libraries open to the public

 [ ]  patents and published patent applications

 [ ]  instruction in commonly taught courses

[ ]  presentation at an open conference or seminar (if the presentation occurs outside the U.S., please provide the location:      )

1. [ ]  Yes [ ]  No Does the **information or software** involved in your shipment meet all of the following criteria?

[ ]  information/software resulted from [fundamental research](https://resources.uta.edu/research/regulatory-services/export-control/fundamental-research.php) conducted at UTA;

[ ]  is ordinarily published and shared broadly within the scientific community;

[ ]  is not restricted (either through written or oral agreement) for proprietary

 reasons or national security controls; and

[ ]  is not subject to specific U.S. Government access and dissemination controls